



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Snow et al.

Examiner:

Serial No.:

10/077,596

Group Art Unit:

1614

Filing Date:

02/15/2002

Attorney Docket No.:

PROTEO.P18CI

Title of Invention:

Proanthocyanidins for the Treatment of Amyloid and Alpha-Synuclein

Diseases

Seattle, Washington 98109 July 11, 2002

Box MISSING PARTS TO THE COMMISSIONER FOR PATENTS Washington, D.C. 20231

TRANSMITTAL

Further to our 02/15/2002 application transmittal, Applicant herewith submits the following items to complete the filing requirements (copy of PTO Notice to File Missing Parts attached as required):

- 1. Combined Declaration and Power of Attorney signed by each inventor;
- 2. Filing fee (\$370 small entity) with extra claims fee (\$252 for 6 additional independent claims, plus \$324 for 36 additional dependent claims) and surcharge for deferred filing (\$65 small entity), for a check total of \$1,011;
- 3. Corrected drawings (55 sheets, figures 1 57).

Having complied with all of the filing requirement of 37 CFR 1.53, Applicant respectfully requests that this application be placed upon the files for examination.

Respectfully submitted,

Tel. No. (206) 343-7074 P18CI-MSGPT.TRN

PATRICK MICHAEL DWYER Reg. No. 32,411

PATRICK M. DWYER PROTEOTECH, INC. 1818 WESTLAKE AVENUE N, SUITE 114 SEATTLE, WA 98109

CERTIFICATE OF MAILING (37 CFR 1.8A)





LAW OFFICES



July 11, 2002

1818 Westlake Avenue N, Suite 114 SEATTLE, WA 98109 206-343-7074 FAX 206-343-7085 pmdwyer@earthlink.net

Office of Initial Patent Examination Filing Receipt Corrections Commissioner for Patents Washington, D.C. 20231

RE:

Applicants: Alan D. Snow et al.

Serial No.: 10/077,596 Filing Date: 02/15/2002

Title: Proanthocyanidins for the Treatment of Amyloid and Alpha-Synuclein

Diseases PROTEO.P18CI

To whom it may concern:

The filing receipt for the above identified application was recently received (copy enclosed) and typographical errors noted therein. We request that the following correction to the filing receipt be considered timely:

The Applicants listed on the filing receipt are incorrect. The first name for inventor "Castillo" should read:

"Gerardo" and not "Geraldo"

Thank you for your cooperation. Please call the Applicants' attorney if there are further questions.

Very truly yours

Patrick Michael Dwyer PMD:gw P18CI-CORR.PTO





United States Patent and Trademark Office



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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS	ı
10/077 596	02/15/2002	1614	0.00	PROTEO P18C	55	56	a	•

CONFIRMATION NO. 2850

PATRICK M. DWYER PROTEOTECH, INC. SUITE 114 1818 WESTLAKE AVENUE N. SEATTLE, WA 98109



FILING RECEIPT

OC000000008084632

Date Mailed: 05/13/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Gerardo

Alan D. Snow, Residence Not Provided;

Geraldo M. Castillo, Residence Not Provided;
Paula Y. Choi, Residence Not Provided;
Beth P. Nguyen, Residence Not Provided;



Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 10/053,625 11/02/2001
AND IS A CIP OF 09/753,313 12/29/2000
AND IS A CIP OF 09/938,987 08/24/2001
WHICH IS A CON OF 09/079,829 05/15/1998
WHICH CLAIMS BENEFIT OF 60/046,672 05/16/1997 *
THIS APPLICATION 10/077,596
CLAIMS BENEFIT OF 60/338,721 12/04/2001
AND CLAIMS BENEFIT OF 60/339,033 12/10/2001
AND CLAIMS BENEFIT OF 60/276,866 03/15/2001 *
AND CLAIMS BENEFIT OF 60/338,969 12/10/2001
(*)Data provided by applicant is not consistent with PTO records.

Foreign Applications

If Required, Foreign Filing License Granted 05/10/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts





PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

FIRST NAMED APPLICANT APPLICATION NUMBER FILING/RECEIPT DATE ATTORNEY DOCKET NUMBER 10/077,596 02/15/2002

Alan D. Snow

PROTEO.P18CI

PATRICK M. DWYER PROTEOTECH, INC. **SUITE 114** 1818 WESTLAKE AVENUE N. SEATTLE, WA 98109

CONFIRMATION NO. 2850 FORMALITIES LETTER *OC000000008084633*

Date Mailed: 05/13/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/18/2002 HMARZI1 - 00000098 10077596

03 FC:203

370.00 OP 252.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

U O M m Ē N



Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

 Additional claim fees of \$1152 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$2022 for a Large Entity

- \$740 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$1152
 - **\$648** for **36** total claims over 20.
 - \$504 for 6 independent claims over 3.

A copy of this notice <u>MUST</u> be returned with the reply.

Z. Hray

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE